

STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: CONFLUENT PHOTONICS CORPORATIONApplication No./Patent No.: 6,859,317 Filed/Issue Date: February 22, 2005Entitled: Diffraction Grating for Wavelength Division Multiplexing/Demultiplexing DevicesCONFLUENT PHOTONICS CORPORATION, a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by, percentage) of its ownership interest is ____%.

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the U.S. Patent and Trademark Office at Reel __, Frame __, or for which a copy thereof is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: Gregory G. Cappiello, Mikhail N. Sokolskiy and Robert H. Dueck To: Lightchip, Inc.
The document was recorded in the U.S. Patent and Trademark Office at
Reel 012805, Frame 0141 or for which a copy thereof is attached.

2. From: Lightchip, Inc. To: Confluent Photonics Corporation
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☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ Copies of assignments or other documents in the chain of title are attached.
[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

2/28/07

Date

Xin Zhang

Typed or printed name

Signature

President and Chief Executive Officer

Title

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, D.C., 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, D.C., 20231.